

Ensure your credit union is *compliance-ready*.

Your members trust you. Regulators expect you to prove it.

This checklist helps you assess your credit union's data automation and compliance posture, ensuring you meet regulatory requirements and reduce operational risks. Answer five yes/no questions for each of the four areas to find out if you are compliance ready.

01 Regulatory compliance & audit readiness

	YES	NO
Are you tracking all compliance requirements for DORA, ISO and financial regulations?	<input type="radio"/>	<input type="radio"/>
Is there an automated system to log and report compliance activities?	<input type="radio"/>	<input type="radio"/>
Can you generate real-time audit reports on demand?	<input type="radio"/>	<input type="radio"/>
Are your regulatory filings automated to ensure accuracy and timeliness?	<input type="radio"/>	<input type="radio"/>
Do you have a workflow to flag potential compliance risks before audits?	<input type="radio"/>	<input type="radio"/>

02 Risk & incident management

	YES	NO
Are all security incidents logged and automatically reported to key stakeholders?	<input type="radio"/>	<input type="radio"/>
Is there an automated response plan for compliance breaches?	<input type="radio"/>	<input type="radio"/>
Do you conduct regular automated risk assessments?	<input type="radio"/>	<input type="radio"/>
Are your third-party vendors also meeting compliance standards?	<input type="radio"/>	<input type="radio"/>
Do you have an incident response automation system in place?	<input type="radio"/>	<input type="radio"/>

03 Operational efficiency & automation

	YES	NO
Are manual data entry tasks minimised to reduce human error?	<input type="radio"/>	<input type="radio"/>
Is there an automated workflow for processing regulatory documents?	<input type="radio"/>	<input type="radio"/>
Do compliance checks run automatically in the background?	<input type="radio"/>	<input type="radio"/>
Are key compliance and security metrics available in a central dashboard?	<input type="radio"/>	<input type="radio"/>
Does your team receive automated alerts on critical compliance updates?	<input type="radio"/>	<input type="radio"/>

04 Member data privacy & protection

	YES	NO
Do you have automated systems in place to monitor data access and usage?	<input type="radio"/>	<input type="radio"/>
Is there an automated consent management system for member data?	<input type="radio"/>	<input type="radio"/>
Are privacy settings and member data preferences automatically enforced?	<input type="radio"/>	<input type="radio"/>
Do you regularly audit data access logs for suspicious activity?	<input type="radio"/>	<input type="radio"/>
Are data deletion and retention policies automated and compliant?	<input type="radio"/>	<input type="radio"/>

Are you compliant?

Score yourself for each section - out of 5, how many questions did you answer 'yes' to?

- All 5 > **Well done! You are compliance ready!**
- 3-4 > **Some improvements are needed, but compliance is within reach.**
- 0-2 > **It's bad news! The risk is high and immediate actions should be taken.**

Your credit union's compliance is *within reach*.

If your credit union is struggling with any of these compliance areas, our data automation experts can help. Book a compliance automation consultation today.